TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371
UTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/GB03/04390
TLE OF INVENTION: FACILITATING AND AUTHENTICATING TRANSACTIONS
PPLICANT(S) FOR DO/EO/US: LINCOLN ET AL

Opplicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

| INTERNATIONAL APPLICATION NO. PCT/GB03/04390 | INTERNATIONAL FILING DATE 09 October 2003 | PRIORITY DATE CLAIMED 17 October 2002 | | | | | |
|---|---|---------------------------------------|--|--|--|--|--|
| | TLE OF INVENTION: FACILITATING AND AUTHENTICATING TRANSACTIONS | | | | | | |
| APPLICANT(S) FOR DO/EO/US: LINCOLN ET AL | | | | | | | |
| Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | |
| 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371. | | | | | | | |
| 3. This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. The US has been elected (Article 31). | | | | | | | |
| | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| | b. has been communicated by the International Bureau c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | |
| | | | | | | | |
| a. is attached hereto. | | | | | | | |
| | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | |
| | c. is not needed since the International Application is in English. 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3)) | | | | | | |
| | ed only if not communicated by the Interna | | | | | | |
| b. have been communicated b | | | | | | | |
| | ver, the time limit for making such amend | ments has NOT expired. | | | | | |
| | d. have not been made and will not be made. 8. An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. An eaghst translation of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| . | An English translation of the annexes to the Int'l Pre. Exam. Report under PCT Article 36 (35 USC 371(c)(5)). | | | | | | |
| Items 11. to 20. below concern docum | ent(s) or information included: | | | | | | |
| l | tatement under 37 C.F.R. 1.97 and 1.98. | | | | | | |
| ☐ 12. An Assignment document for | 12. An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 & 3.31 is included. | | | | | | |
| 13. A preliminary amendment. | | | | | | | |
| <u> </u> | 14. An Application Data Sheet under 37 CFR 1.76. | | | | | | |
| · <u></u> | <u> </u> | | | | | | |
| <u> </u> | 16. A power of attorney and/or change of address letter. | | | | | | |
| · | 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 & 37 CFR 1.821-825. | | | | | | |
| • • | 3. A second copy of the published international application under 35 USC 154(d)(4). | | | | | | |
| 19. A second copy of the English translation of the international application under 35 USC 154(d)(4). | | | | | | | |
| 20. Other items or information: | | | | | | | |
| Return Receipt Postcard. A copy of the Notification of Missing Requirements under 35 U.S.C. 371. | | | | | | | |
| In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition | | | | | | | |
| does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 21(c). | | | | | | | |
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| M. Ar. | | Date: October 24, 2005 | | | | | |

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|--------------------------------|--|--|-----------|----------------------|---------------------------------------|-----------------------|--------------------|
| | U.S. APPLICATION 10/531, | | | | ATTORNEY DOCKET NO. P08620US02/BAS | | |
| | The following fees have been submitted: | | | CALCULATIONS | PTO USE ONLY | | |
| | 21. Basic national fee (37 CFR 1.492(a)) \$300 | | | | | \$ | |
| | 22. Examination fee (37 CFR 1.492(c)) Written Opinion or IPER by US indicates all claims satisfy PCT Art. 33(1-4) \$0 All other situations \$200 23. Search fee Written Opinion or IPER by US indicates all claims satisfy PCT Art. 33(1-4) \$0 Has been paid on the Int'l Appln. to the USPTO as ISA \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500 | | | | | \$ | |
| | | | | | | \$ | |
| | | | | TOTAL OF 21, 22 A | ND 23 | \$ | |
| | SIZE FEE: | sheets - 100 = | ÷ 50 = | [rounded up] × \$250 | | \$ | |
| | Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)) \$130 | | | | | \$130 | |
| | CLAIMS | NUMBER FILED | NUMBER EX | TRA RATE | | | |
| | Total Claims | 26 - 20 = | 6 | X \$50 : | = | \$300 | |
| | Independent Claims | 3 - 03 = | 0 | X \$200 | = | \$ 0 | |
| | ☐ Multiple Depend | ent Claim(s) (if appl | icable) | + \$360 | = | \$ | |
| | TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status - 37 CFR 1.27. Fees above reduced by ½. SUBTOTAL = | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | Processing fee for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)) \$130 | | | | | \$ | |
| | TOTAL NATIONAL FEE = | | | | | | |
| | Fee for recording enclosed assignment (37 CFR 1.21(h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property | | | | | \$ | |
| | TOTAL FEES ENCLOSED = | | | | SED = | \$430 | |
| 10/27/20 | 5 ATRAN1 00000146 10 | 0531429 | | Amoui | it to be | Refunded Charged | \$ \$ |
| 01 FC:161 | 7 | 130 00 00 | | | | - Ciral gea | |
| AC 10:10; | Payment of \$430.00 is made by attached Credit Card Payment Form (PTO-2038) The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 12-0555. | | | | | | |
| | Do not charge any claim fees now - any additional claims fees will be paid with the Missing Requirements. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | |
| | | | | | | | FR 1.137(a) |
| POWER OF ATTORNEY & NAME: B. A | | | | | E: B. Aaron Schulman | | |
| | CORRESPONDENCE A | CORRESPONDENCE ADDRESS: REG. NO.: 31,877 | | | , . | | |
| | CUSTOMER NO. 00881 Date: October 24, 2005 REG. NO.: 31,877 | | | | | efel | \ |
| | | | | | | | |

Customized FORM PTO-1390 (REV. 07-2005)

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SUITE 900

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. States Alexandra, Virginia 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/531,429

Adrian Lincoln

P08620US02/BAS

INTERNATIONAL APPLICATION NO. PCT/GB03/04390

I.A. FILING DATE

PRIORITY DATE

10/09/2003

10/17/2002

CONFIRMATION NO. 8445 371 FORMALITIES LETTER

OC000000017199184

Date Mailed: 10/13/2005

STITES & HARBISON PLLC

ALEXANDRIA, VA 22314

1199 NORTH FAIRFAX STREET

12-13-2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/15/2005
- Copy of the International Search Report filed on 04/15/2005
- Copy of IPE Report filed on 04/15/2005
- Copy of Annexes to the IPER filed on 04/15/2005
- Preliminary Amendments filed on 04/15/2005
- Information Disclosure Statements filed on 04/15/2005
- U.S. Basic National Fees filed on 04/15/2005
- Priority Documents filed on 04/15/2005
- Specification filed on 04/15/2005
- Claims filed on 04/15/2005
- Abstracts filed on 04/15/2005
- Drawings filed on 04/15/2005

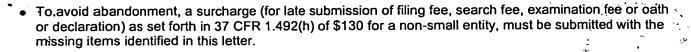
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The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$300 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.



SUMMARY OF FEES DUE:

Total additional fees required for this application is \$430 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 300
 - \$300 for 6 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO |). INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|----------------------------|----------------------------------|------------------|
| 10/531,429 | PCT/GB03/04390 | P08620US02/BAS |

FORM PCT/DO/EO/905 (371 Formalities Notice)

